



Docket No.: 1231

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: James F. McGuckin, Jr., et al

Serial No.: 10/800,299

Group Art Unit: 3731

Filed: March 12, 2004

Examiner: Unknown

For: **Distal Protection Device**

Commissioner For Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**CERTIFICATE OF MAILING**

Date of Deposit: 1/14/05

I hereby certify that the following:

- ☒ This Certificate of Mailing
- ☒ Supplemental Information Disclosure Statement
- ☒ PTO Form 1449 and non U.S. Patent/published application references
- ☒ Return postcard

are being deposited with the United States Postal Service first class mail on the Date of Deposit indicated above in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

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**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

In accordance with Applicant's duty of disclosure under 37 C.F.R §1.56 and in compliance with 37 C.F.R. §1.97 and 37 C.F.R. §1.98, Applicants submit the cited reference(s) for the Examiner's consideration.

It is respectfully requested that the reference(s) listed on Form PTO-1449 be considered during Examination of the above identified application and made of record therein. Pursuant to 37 C.F.R. §1.98(a), a copy of the cited reference(s) other than U.S. patents and U.S. patent application publications is/are enclosed. This submission is believed to be in compliance with 37 C.F.R. §1.97 and 37 C.F.R. §1.98. A copy of the search report from a related international application is enclosed.

The citation of the listed item(s) is not a representation that it constitutes a complete or exhaustive listing of prior art or that it constitutes prior art.

As set forth in 37 C.F.R. §1.97 (c), to the best of Applicant(s) knowledge, this information disclosure statement is being filed before the mailing date of a first Office Action on the merits. No fee is believed required. However, any fee deficiency can be charged to Deposit Account No. 501567.

Dated: 1/24/05

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Form PTO-1449 U.S. DEPARTMENT OF  
COMMERCE PATENT AND TRADEMARK OFFICE

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INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

(Use several sheets if necessary)

APPLICANT  
James F. McGuckin, Jr., et al

FILING DATE  
March 12, 2004

GROUP Art Unit:  
3731

## U.S. PATENT DOCUMENT

EXAMINER INITIALS	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	6 2 7 7 1 3 9	08/21/01	Levinson, et al			
	6 8 1 8 0 0 6	11/16/04	Douk, et al			

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
WO	9 6 0 1 5 9 1	1/25/96	PCT				
WO	0 1 4 5 5 9 0	6/28/01	PCT				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)


EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.